



WHISTLEBLOWER POLICY

The Marfan Foundation (the Foundation) requires that its directors, officers, employees, and volunteers observe high standards of business and personal ethics in the conduct of their duties and responsibilities. As employees and representatives of the Foundation, we must practice honesty and integrity in fulfilling our responsibilities and complying with all applicable laws and regulations.

In furtherance of this goal, it is the responsibility of all directors, officers, and employees to comply with these standards and to report suspected or actual occurrences of illegal, unethical, fraudulent, or inappropriate events (behaviors and practices), or violations of any of the Foundation's adopted policies (each, a "Violation") in accordance with this Whistleblower Policy.

To encourage its directors, officers, employees, and volunteers to report Violations in the workplace and to protect any such directors, officers, employees, and volunteers from retaliation for making any such report in good faith, the Board of Directors of the Foundation (the "Board") adopts these whistleblower policy guidelines:

1. **Reporting Responsibility.** It is the responsibility of all directors, officers, employees, and volunteers to report Violations or suspected Violations in accordance with this Whistleblower Policy.
2. **Reporting Violations.** In most cases, employees and volunteers should report Violations or suspected Violations to their immediate supervisor. If the employee or volunteer would be uncomfortable or otherwise reluctant to report to his/her immediate supervisor, then he/she could report the event to the next highest or another level of management, including to an appropriate member of the Board. Directors and officers should report Violations or suspected Violations to the Chief Executive Officer and to the full Board.
3. **Confidentiality.** Violations or suspected Violations may be submitted on a confidential basis by the complainant or may be submitted anonymously. Reports of Violations or suspected Violations will be kept confidential to the extent possible, consistent with the need to conduct an adequate investigation.
4. **No Retaliation.** No director, officer, employee, or volunteer who in good faith reports a Violation or suspected Violation shall suffer harassment, retaliation, or adverse employment consequence. A director, officer, employee, or volunteer who retaliates against someone who has reported a Violation in good faith is subject to discipline up to and including termination of Board, officer, employee, or volunteer status. This Whistleblower Policy is intended to encourage and enable employees and others to raise serious concerns within the Foundation prior to seeking resolution outside the Foundation.
5. **Acting in Good Faith.** Anyone filing a complaint concerning a Violation or suspected Violation must be acting in good faith and have reasonable grounds for believing the information disclosed indicates a Violation. Any allegations that prove not to be substantiated and which prove to have been made maliciously or knowingly to be false will be viewed as a serious disciplinary offense. A Whistleblower who makes a report that is not done in good faith is subject to discipline, including termination of the Board, officer, employee, or volunteer relationship, or other legal means to protect the reputation of the organization and members of its Board and staff.

THE MARFAN FOUNDATION



WHISTLEBLOWER POLICY continued

6. **Report of Crimes.** Crimes against person or property, such as assault, rape, burglary, etc., should immediately be reported to local law enforcement personnel.
7. **Handling of Reported Violations.** Supervisors, managers, and/or Board members who receive a report of a Violation or suspected Violation must promptly act to investigate and take appropriate corrective action if warranted by the investigation. The Whistleblower shall receive a report within five (5) business days of the initial report, regarding the investigation, disposition, or resolution of the issue. The audit committee of the Board shall address all reported concerns or complaints regarding Violations of corporate accounting practices, internal controls, or auditing. The supervisor/Board member that initially received a report of a Violation relating to corporate accounting practices, internal controls, or auditing shall immediately notify the audit committee of any such complaint and work with the audit committee until the matter is resolved.
8. **Right to Report Outside the Foundation.** If the investigation of a report, that was done in good faith and investigated by internal personnel, is not to the Whistleblower's satisfaction, then he/she has the right to report the event to the appropriate legal or investigative agency.
9. **Review of Policy.** Each director, officer, employee, and volunteer shall be provided with a copy of this policy. Any changes in this policy shall be communicated promptly to each director, officer, employee, and volunteer.